

**Call: TEC-Connect 5. Bilateral Cooperation Call Austria - MOST/People's Republic of China (2024)**

**Proposal number:**

**Proposal acronym:**

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# Annex 2: Ethics & security

## Ethics Issues Table

<b>1. Human Embryonic Stem Cells and Human Embryos</b>		
Does this activity involve Human Embryonic Stem Cells (hESCs)?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
Does this activity involve the use of human embryos?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
<b>2. Humans</b>		
Does this activity involve human participants?	<input checked="" type="radio"/> Yes	<input type="radio"/> No
Are they volunteers for non medical studies (e.g. social or human sciences research)?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
Are they healthy volunteers for medical studies?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
Are they patients for medical studies?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
Are they potentially vulnerable individuals or groups?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
Are they children/minors?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
Are they other persons unable to give informed consent?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
Does this activity involve interventions (physical also including imaging technology, behavioural treatments, etc.) on the study participants?	<input checked="" type="radio"/> Yes	<input type="radio"/> No
Does it involve invasive techniques?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
Does it involve collection of biological samples?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
Does this activity involve conducting a clinical study as defined by the Clinical Trial <a href="#">Regulation (EU 536/2014)</a> ? (using pharmaceuticals, biologicals, radiopharmaceuticals, or advanced therapy medicinal products)	<input type="radio"/> Yes	<input checked="" type="radio"/> No
<b>3. Human Cells / Tissues (not covered by section 1)</b>		
Does this activity involve the use of human cells or tissues?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
<b>4. Personal Data</b>		
Does this activity involve processing of personal data?	<input checked="" type="radio"/> Yes	<input type="radio"/> No
Does it involve the processing of special categories of personal data (e.g.: genetic, biometric and health data, sexual lifestyle, ethnicity, political opinion, religious or philosophical beliefs)?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
Does it involve profiling, systematic monitoring of individuals, or processing of large scale of special categories of data or intrusive methods of data processing (such as, surveillance, geolocation tracking etc.)?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
Does this activity involve further processing of previously collected personal data (including use of preexisting data sets or sources, merging existing data sets)?	<input type="radio"/> Yes	<input checked="" type="radio"/> No
Is it planned to export personal data from the EU to non-EU countries?	<input checked="" type="radio"/> Yes	<input type="radio"/> No

Maximum number of characters allowed: 1000

Is it planned to import personal data from non-EU countries into the EU or from a non-EU country to another non-EU country?  Yes  No

*Maximum number of characters allowed: 1000*

Does this activity involve the processing of personal data related to criminal convictions or offences?  Yes  No

## 5. Animals

Does this activity involve animals?  Yes  No

Are they vertebrates?  Yes  No

Are they non-human primates? (NHP)  Yes  No

Are they genetically modified?  Yes  No

Are they cloned farm animals?  Yes  No

Are they endangered species?  Yes  No

## 6. Non-EU Countries

Will some of the activities be carried out in non-EU countries?  Yes  No

*Maximum number of characters allowed: 1000*

In case non-EU countries are involved, do the activities undertaken in these countries raise potential ethics issues?  Yes  No

*Maximum number of characters allowed: 1000*

Is it planned to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)?  Yes  No

Is it planned to import any material (other than data) from non-EU countries into the EU or from a non-EU country to another non-EU country? For data imports, see section 4.  Yes  No

*Maximum number of characters allowed: 1000*

Is it planned to export any material (other than data) from the EU to non-EU countries? For data exports, see section 4.  Yes  No

Maximum number of characters allowed: 1000

Does this activity involve [low and/or lower middle income countries](#), (if yes, detail the benefit-sharing actions planned in the self-assessment)  Yes  No

Could the situation in the country put the individuals taking part in the activity at risk?  Yes  No

### 7. Environment, Health and Safety

Does this activity involve the use of substances or processes that may cause harm to the environment, to animals or plants.(during the implementation of the activity or further to the use of the results, as a possible impact) ?  Yes  No

Does this activity deal with endangered fauna and/or flora / protected areas?  Yes  No

Does this activity involve the use of substances or processes that may cause harm to humans, including those performing the activity.(during the implementation of the activity or further to the use of the results, as a possible impact) ?  Yes  No

### 8. Artificial Intelligence

Does this activity involve the development, deployment and/or use of Artificial Intelligence-based systems?  Yes  No

### 9. Other Ethics Issues

Are there any other ethics issues that should be taken into consideration?  Yes  No

Maximum number of characters allowed: 1000

I confirm that I have taken into account all ethics issues above and that, if any ethics issues apply, I will complete the ethics self-assessment as described in the guidelines [How to Complete your Ethics Self-Assessment](#)

## Ethics Self-Assessment

### Ethical dimension of the objectives, methodology and likely impact

Explain in detail the identified issues in relation to:

- objectives of the activities (e.g. study of vulnerable populations, etc.)
- methodology (e.g. clinical trials, involvement of children, protection of personal data, etc.)
- the potential impact of the activities (e.g. environmental damage, stigmatisation of particular social groups, political or financial adverse consequences, misuse, etc.)

Remaining characters

5000

### Compliance with ethical principles and relevant legislations

Describe how the issue(s) identified in the ethics issues table above will be addressed in order to adhere to the ethical principles and what will be done to ensure that the activities are compliant with the EU/national legal and ethical requirements of the country or countries where the tasks are to be carried out. It is reminded that for activities performed in a non-EU countries, they should also be allowed in at least one EU Member State.

Remaining characters

5000

## Security issues table

### 1. EU Classified Information (Austria and EU)<sup>2</sup>

Does this activity involve information and/or materials requiring protection against unauthorised disclosure?  Yes  No

Does this activity involve non-EU countries which need to have access to classified information?  Yes  No

### 2. Misuse

Does this activity have the potential for misuse of results?  Yes  No

### 3. Other Security Issues

Does this activity involve information and/or materials subject to national security restrictions?  Yes  No  
If yes, please specify: (Maximum number of characters allowed: 1000)

Are there any other security issues that should be taken into consideration?  Yes  No  
If yes, please specify: (Maximum number of characters allowed: 1000)

## Security self-assessment

Please specify: (Maximum number of characters allowed: 5000)

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<sup>2</sup> Classified Information in Austria regulated according to the Information Information Security Law (Informationssicherheitsgesetz InfoSiG BGBl. I Nr. 23/2022 idGF).

According to the Commission Decision (EU, Euratom) 2015/444 of 13 March 2015 on the security rules for protecting EU classified information, "European Union classified information (EUCI) means any information or material designated by an EU security classification, the unauthorised disclosure of which could cause varying degrees of prejudice to the interests of the European Union or of one or more of the Member States".

Classified background information is information that is already classified by a country and/or international organisation and/or the EU and is going to be used by the project. In this case, the project must have in advance the authorisation from the originator of the classified information, which is the entity (EU institution, EU Member State, third state or international organisation) under whose authority the classified information has been generated.

EU classified foreground information is information (documents/deliverables/materials) planned to be generated by the project and that needs to be protected from unauthorised disclosure. The originator of the EUCI generated by the project is the European Commission.