



**Marie Skłodowska-Curie Actions
Innovative Training Networks
Aufbau des Antrags: Part B**



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0



ÜBERBLICK

- Formatierungsvorgaben und Aufbau des Antrags – “Part B”
- Kapitel 1 „Excellence“
- Kapitel 2 „Impact“
- Kapitel 3 „Implementation“ und Kapitel 4-7

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1

3... 2... 1...

! WHAT YOU NEED TO START !

- 1) Das **aktuelle MSCA Work Programme 2018-2020!**
https://ec.europa.eu/programmes/horizon2020/sites/horizon2020/files/03_h2020-msca-2018-2020_post_isc_post_pc_for_pre-publication.pdf
 Vor-Veröffentlichung! Veröffentlichung finale Version am 27. Okt.
 S. 5-7 (introduction), 8-12 (ITN 2018 call description), 59-78 (Eligibility and cost eligibility conditions)
- 2) Der **aktuelle Guide for Applicants (GfA)!**
 H2020-MSCA-ITN-2018 vom 12. Okt. 2017, Version 3.0
http://ec.europa.eu/research/participants/data/ref/h2020/other/guides_for_applicants/h2020-guide-applicant-itn_en.pdf
 → Topic conditions and documents → 5. Proposal templates, evaluation forms and model grant agreements (MGA)
- 3) Das **aktuelle Template:**
Part A: Administrative Formulare → Information Morgen
Part B: Projekt-Beschreibung → Herunterladen vom Participant Portal nach Registrierung für den Call!!!
<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/calls/h2020-msca-itn-2018.html>

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2


AUFBAU EINES ANTRAGS – LAYOUT

- Genaue Angaben im GfA Annex 4
- **Template** von Electronic Submission Service downloaden!!!
- Schriftgröße: **min. 11 points** (z.B. Arial oder Times New Roman)
- Ränder: min. **1,5 cm**
- **Literaturreferenzen:** in der Fußnote, mind. Größe 8
- Kopf und Fußzeile auf jeder Seite:
 - Kopfzeile: **Akronym + Implementation Mode** (ETN, EID, EJD)
 - Fußzeile: Seitenanzahl „**Part B- Page X of Y**“

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3

AUFBAU EINES ANTRAGS – STRUKTUR


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Doc 1 name: Proposal Number-Acronym-Part B1


Start Page	}	<i>1 whole page each</i>			
Table of Contents					
List of Participating Org. etc.					
1 Excellence <i>starts on page 5</i>	}	<i>2 whole p. remaining space left blank</i>	<i>max. 34</i>		
2 Impact					
3 Implementation					

Doc 2 name: Proposal Number-Acronym-Part B2

4 Gantt Chart } <i>max. 1 page</i>	}	<i>max. 1 p./org. (0,5 for PO)</i>			
5 Capacities of the Participating Org.					
6 Ethical Aspects } <i>no page limit</i>					
7 Letter of Commitment of Partner Organisations					

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PART B – LIST OF PARTICIPANTS


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Consortium Member	Legal Entity Short Name	Academic (tick)	Non-academic (tick)	Awards Doctoral Degrees (tick)	Country	Dept./ Division / Laboratory	Scientist-in-Charge	Role of Partner Organisation ¹
Beneficiaries								
- NAME								
Partner Organisations								
- NAME								

¹ For example, delivering specialised training courses, hosting secondments, etc.

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PART B – DATA FOR NON-ACADEMIC BENEFICIARIES



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Name	Location of research premises (city / country)	Type of R&D activities	No. of full - time employees	No. of employees in R&D	Web site	Annual turnover (in Euro)	Enterprise status (Yes/No)	SME status ² (Yes/No)

- Information basierend auf **aktuellen Daten**, keine Prognosen!
- Angaben bzgl. der **Kapazität** der teilnehmenden Organisationen werden in der Verhandlungsphase **überprüft**

² As defined in Commission Recommendation 2003/361/EC

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6

PART B – DECLARATIONS



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Name (institution / individual)	Nature of inter-relationship

Evtl. **Abhängigkeiten unter den Teilnehmern** (z.B. Familienbande, gemeinsame Räumlichkeiten oder Anlagen, gemeinsamer Besitz, finanzielle Interessen, gemeinsames Personal/Leitung usw.) müssen in diesem Teil des Antrags **erklärt** werden.

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7

PART B – CHARTER & CODE



*“The principles of the **European Charter for Researchers and Code of Conduct for the Recruitment of Researchers** (Charter and Code) promoting **open recruitment and attractive working and employment conditions** are a cornerstone of the MSCA and all funded participants must apply them in line with the provisions of the grant agreement.”*

Cf. MSCA work programme 2018-2020, page 6

<https://euraxess.ec.europa.eu/jobs/charter>

<https://euraxess.ec.europa.eu/jobs/hrs4r#hrs4r-acknowledged-institutions>

KAPITEL 1 – EXCELLENCE



1.1 Quality, innovative aspects and credibility of the research programme (including inter/multidisciplinary, intersectoral and, where appropriate, gender aspects)

Introduction, objectives and overview of the research programme

ETN: explained how the **individual projects of the ESR** will be integrated into / contribute to - the overall research programme

EJD and **EID**: describe the **research projects** in the context of a **doctoral training** programme

Research methodology and approach

Originality and innovative aspects of the research programme

in light of the current **state of the art** and existing programmes / networks / doctoral research trainings

KAPITEL 1 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Quality of the Research Programme – Strengths

- ✓ Specific **objectives** are fully explained and **innovative elements** are identified. The proposal describes an interesting range of research issues demanding **novel approaches**.
- ✓ The **topics of individual projects** are diverse, original and **well-matched with the secondments**. **Interdisciplinary** elements of the doctoral projects are properly indicated.
- ✓ The proposed programme has a strong **inter-sectoral dimension**, bringing together academic institutions with museums, media and childhood heritage organizations. Inter/multidisciplinary aspects are also well considered.
- ✓ Relevant **gender aspects** are well-incorporated in the research agenda [...].

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11

KAPITEL 1 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Quality of the Research Programme – Weaknesses

- ✗ The **objectives** of the research as well as the scientific **methodology** are not sufficiently detailed.
- ✗ The **contribution of ESR** projects to fulfilling objectives is insufficiently discussed.
- ✗ Additional **detail is lacking on the individual ESRs'** research projects.
- ✗ **Gender attention** in the research programme is not adequately considered.
- ✗ Too limited information is provided on the **methodological frame** of the proposed research.

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12

KAPITEL 1 – EXCELLENCE

**Table 1.1: Work Package¹ List**

Only brief headings and overviews, reflecting research objectives, more details in 3.1

WP No.	WP Title	Lead Beneficiary No.	Start Month	End Month	Activity Type ²	Lead Beneficiary Short Name	ESR involvement ³
WP1							
WP2							

^[1] A **work package** is defined as a **major subdivision** of the proposed action.

^[2] E.g., research, management, dissemination, etc.

^[3] Indicate which ESR(s) will participate in the WP in question

KAPITEL 1 – EXCELLENCE

**1.2 Quality and innovative aspects of the training programme**

(including transferable skills, inter/multidisciplinary, intersectoral and , where appropriate, gender aspects)

Overview and content structure of the training (ETN) or doctoral programme (EJD/EID)

including **network-wide training events** and **complementarity** with those programmes offered locally at the participating organisations. Include **table 1.2a** (Recruitment Deliverables per Beneficiary) and **table 1.2b** (Main Network-Wide Training Events, Conferences and Contribution of Beneficiaries)

Role of non-academic sector in the training programme

KAPITEL 1 – EXCELLENCE



Table 1.2.a: Recruitment Deliverables per Beneficiary

Researcher No.	Recruiting Participant (short name)	Planned Start Month 0-45	Duration (months) 3-36
1.			
2.			
3.			
...			
Total			

KAPITEL 1 – EXCELLENCE



Table 1.2.b: Main Network-Wide Training Events, Conferences and Contribution of Beneficiaries

	Main Training Events & Conferences	ECTS ¹ (if any)	Lead Institution	Action Month (estimated)
1				
2				
3				
4				

¹ ECTS: European Credit Transfer and Accumulation System.
http://ec.europa.eu/education/ects/users-guide/docs/ects-users-guide_en.pdf

KAPITEL 1 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Quality of the Training Programme – Strengths

- ✓ The **training** program is coherent, detailed and presented with sufficient clarity and **well related to the project's objectives**.
- ✓ The **individual** research, the **network-wide training** programme and **inter-sectoral collaboration** are differentiated, detailed, clearly structured and credible.
- ✓ The programme includes training in **transferable skills**, presentation quality, consideration of intellectual property rights, research processes, research integrity, gender issues and entrepreneurial activity.
- ✓ The **role of the non-academic sector** in the training programme is very well addressed, including their role as co-supervisors, mentors and secondments.

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17

KAPITEL 1 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Quality of the Training Programme – Weaknesses

- ✗ The training on **specific scientific areas/multi-disciplinary areas** is insufficiently addressed.
- ✗ **Secondments at the non-academic partner** organizations are **not demonstrated** in a sufficiently defined, mandatory, and measurable manner.
- ✗ The **overall coherence of training** actions (duration of training sessions, sequence of training and data collection, network-wide training parallel events, supervision) is not sufficiently adequately addressed.

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18

KAPITEL 1 – EXCELLENCE



1.3 Quality of the supervision (including mandatory joint supervision for EID and EJD)

Qualifications and supervision experience of supervisors

Quality of the joint supervision arrangements
(mandatory for EID and EJD)

Role and scientific profile of the supervisors should only be listed in the "Participating Organisations" tables (**section 5**)

KAPITEL 1 – EXCELLENCE



European Charter for Researchers (p. 21):

Supervision

*Employers and/or funders should ensure that **a person is clearly identified** to whom Early-Stage Researchers can refer for the performance of their professional duties, and should inform the researchers accordingly.*

*Such arrangements should clearly define that the proposed supervisors are sufficiently **expert in supervising research**, have the **time, knowledge, experience, expertise and commitment** to be able to offer the research trainee appropriate support and provide for the necessary progress and review procedures, as well as the necessary feedback mechanisms.*

KAPITEL 1 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Quality of the Supervision – Strengths

- ✓ Quality of supervision is a key strength of the proposal. Supervisors demonstrate a **track-record with excellent publication and supervisory records**. **Procedures for supervision** are well described and suited to this programme.
- ✓ Professional **mentorship from the non-academic sector** is relevant and well integrated in the individual projects.
- ✓ The supervision scheme is very-well articulated. The **Personal Career Development Plan for each researcher** contains a comprehensive and detailed supervision programme. Activities are the **mutual responsibility of one academic supervisor and one industrial mentor**. Thus, the non-academic partners play a strong role in ESR supervision.

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21

KAPITEL 1 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Quality of the Supervision – Weaknesses

- ✗ The international **co-supervision arrangements** and academic **secondments** are mentioned, but specified **only in generic terms**.
- ✗ Some details are missing regarding how the **researchers and ESRs** can regularly **interact**.
- ✗ The proposal does not fully clarify what key aspects/ stages are envisaged **for joint supervision**.

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22

KAPITEL 1 – EXCELLENCE



1.4 Quality of the proposed interaction between the participating organisations

Contribution of all participating organisations to the research and training programme

Synergies between participating organisations

Exposure of recruited researchers to different (research) environments, and the complementarity thereof

KAPITEL 1 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Quality of the Interaction – Strengths

- ✓ The network-wide **training events maximize interactions** through having each partner **either host or co-host** an event, **exposing each ESR** more deeply **to the full network** of participants.
- ✓ All participants will effectively **contribute their knowledge and experiences** to the research and training programme, networking and supervision and the **ESR will be effectively exposed to different research environments.**
- ✓ All beneficiaries and partners are **actively involved in joint activities** and there is a **good balance** of interaction between the **academic and non-academic sector** in the training programme.

KAPITEL 1 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Quality of the Interaction – Weaknesses

- ✘ How the beneficiaries and the **participating organisations as a whole can create concrete value added and synergies** is not fully coherently described in the proposal.
- ✘ The proposal does **not provide sufficient explanations on the synergy already existing** between the beneficiaries and the partner organizations **in relation to the objectives** of the proposal.

KAPITEL 2 – IMPACT



2.1 Enhancing the career perspectives and employability of researchers and contribution to their skills development

Explain the impact of the research and training on the fellows' careers.

KAPITEL 2 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Karriereentwicklung der Fellows

- ✓ The proposed ETN shows good potential for enhancing the career perspectives of the ESRs in the field of [...] studies as well as in the [...] field and offers a significant inter-sectoral exposure to both. The training and development plan encompasses transferable skills that will enhance the researcher's career prospects.
- ✓ The timely nature of the research assures that the ESRs who complete the programme will have a highly marketable skill-set. The acquisition of a wide range of transferrable skills in cross cutting themes, business practice, etc., will further enhance the employability of researchers.
- ✗ The impact on the specific multi-disciplinary knowledge to be acquired by the ESRs is not convincingly presented.
- ✗ The proposal does not fully address the contribution to the development of some skills, i.e on [...] economics and mathematical modelling for sustainability problems.

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30

KAPITEL 2 – IMPACT



2.2 Contribution to structuring doctoral / early-stage research training at the European level and to strengthening European innovation capacity, including the potential for:

- a) Meaningful contribution of the non-academic sector to the doctoral / research training (as appropriate to the implementation mode [ETN/EJD/EID] and research field)
- b) Developing sustainable joint doctoral degree structures (for EJD only)

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32

KAPITEL 2 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Verbesserung Doktoratsstudien, Einbindung nichtakademischer Sektor

- ✓ In general, the contribution to transferable and soft skills goes beyond that usually obtained during doctoral training.
- ✓ The project provides a good model for international PhD training in the field, including an appropriate integration of the non-academic sector.
- ✓ The project strongly focuses on a meaningful contribution of the non-academic sector to the doctoral training because ESRs will be supervised also by supervisors from non-academic partners.
- ✗ Even if an impact through the digital preservation of [...] is indicated, the potential for European innovation capacity is not sufficiently articulated in the project description.
- ✗ The proposal insufficiently addresses how the enhancement of early-stage research training at the European level will be achieved.

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33

DISSEMINATION & COMMUNICATION



Section 2.3

Dissemination

targeted at **peers - scientific** or the „the wider research and innovation community“, industry and other commercial actors, professional organisations, policymakers

- communicate research results, transfer them into other research settings, for commercial purposes or policy making

Examples

- papers at conferences
- publications in journals
- open data

Section 2.4

Communication

targeted at the **general public**

- to create awareness among the general public about the project and its results, implications for citizens and society
- done in a way that this can be understood by non-specialists
- for the researcher to understand better public concern and interest


Examples („one-way“ – „two-way“)

- press articles
- researchers' night
- blogs & videos...

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35

KAPITEL 2 – IMPACT



2.3 Quality of the proposed measures to exploit and disseminate the results

Dissemination of the research results


Exploitation of results and intellectual property

Concrete plans for section 2.3 must be **included in** the corresponding **implementation tables.**

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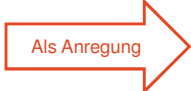
36

KAPITEL 2 – IMPACT




2.4 Quality of the proposed measures to communicate the activities to different target audiences

Communication and public engagement strategy



Concrete plans for section 2.4 must be **included in** the corresponding **implementation tables.**

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Outreach and Communication Activities in the MSCA under Horizon 2020

Guidelines

MSCA fellows are expected to engage in outreach activities as an integral part of their fellowship. Below is a non-exhaustive set of practical outreach activities that MSCA fellows could consider for their project.

Difference between communication and outreach

Outreach and communication activities are related, but are not the same and a good MSCA project should include a mix of both.

Outreach activities are meant to engage a large audience and to bring knowledge and expertise on a particular topic to the general public. Outreach activities can take several forms, such as school presentations, workshops, public talks and lab visits, etc. The objective of outreach is to explain the benefits of research to a larger public (the taxpayers who fund your research). Outreach implies an interaction between the sender and the receiver of the message, there is an engagement and a two-way communication between the researcher and the public.

Communications, on the other hand, only goes in one direction from the sender to the receiver. Communication refers to articles in mainstream newspapers and magazines, or on TV and radio channels. Successful communication requires a clear language and attractive scientific subject with outstanding results that can catch the media's attention.

The European Commission is aware that not every MSCA researcher is undertaking research of interest to the mass media. You can start small and attempt having your research published in your local newspaper. Researchers should be able to explain their project to the large public in accessible language; imagine having to explain what you do to fellow commuters on your daily trip to work.

Possible activities

In order to give visibility to MSCA projects, fellows could take part in outreach activities such as:

- **Marie Skłodowska-Curie Ambassadors:** Fellows acting as "Ambassadors" organise activities with the aim of promoting their research to all public audiences. MSCA researchers visit schools and universities or assist educators in

KAPITEL 3 – IMPLEMENTATION



3.1 Coherence and effectiveness of the work plan,
including appropriateness of the allocation of tasks and resources
(including awarding of doctoral degrees for **EID** and **EJD**)

KAPITEL 3 – IMPLEMENTATION



- Work Packages description
- List of major deliverables
including the awarding of doctoral degrees, where applicable
(also after the end of the action)
- List of major milestones
- Fellow's individual projects
- Gantt Chart
including **secondment plan** (use template in section 4 – does not
count towards page limit)

Due date: The schedule should indicate the **number of months elapsed** from the start of the action (Month 1)

KAPITEL 3 – IMPLEMENTATION



DEFINITION: A **work package** is defined as a **major subdivision** of the proposed action

TABLE: Description of Work Packages (3.1a)

WP Number	Start Month – End Month
WP Title	(e.g. including Research, Training, Management, Communication and Dissemination...)
Lead Beneficiary	
Objectives	
Description of Work and Role of Specific Beneficiaries / Partner Organisations (possibly broken down into tasks), indicating lead participant and role of other participating organisations	
Description of Deliverables (brief description and month of delivery)	

KAPITEL 3 – IMPLEMENTATION



DEFINITION

Deliverable: a distinct output of the action (e.g. report, document, technical diagram, software, etc.)

numbering convention:

<WP number>.<number of deliverable within that WP>

EXAMPLES

D1.2: Career development plan (as 2nd deliverable of WP 1)

D2.3: Report on Project Publications

D4.1: Summer School 1

KAPITEL 3 – IMPLEMENTATION

**TABLE: Deliverables List (3.1b)**

Scientific Deliverables						
Deliverable Number ¹	Deliverable Title	WP No.	Lead Beneficiary Short Name	Type ²	Dissemination Level ³	Due Date
e.g. 1.2						
Management, Training, Recruitment ⁴ and Dissemination Deliverables						
Deliverable Number ¹	Deliverable Title	WP No.	Lead Beneficiary Short Name	Type ²	Dissemination Level ³	Due Date
e.g. 4.1						

Type: **R** = Report; **ADM** = Administrative (website completion, recruitment completion, etc.);
PDE = dissemination/exploitation; **OTHER** = Other including coordination

Dissemination level: **PU** = Public, **CO** = Confidential, **CI** = Classified

Recruitment Deliverables: Including overall recruitment (e.g. advertising vacancies), Researcher Declarations on Conformity, Career development Plan, etc.

KAPITEL 3 – IMPLEMENTATION

**DEFINITION**

Milestone: control point in the action that help to chart progress, e.g. completion of a key deliverable, intermediary points where corrective measures can be taken, a critical decision point for further development etc.

EXAMPLES

- M 1.1: Test phase concluded
- M 2.3: Map completed & published



KAPITEL 3 – IMPLEMENTATION



TABLE: Milestones List (3.1c)

Number	Title	Related Work Package(s)	Lead Beneficiary	Due Date ¹	Means of Verification ²

Means of Verification: Show how the consortium will confirm that the milestone has been attained. Refer to indicators if appropriate.

For example: a laboratory prototype completed and running flawlessly; software released and validated by a user group; field survey complete and data quality validated.

KAPITEL 3 – IMPLEMENTATION



TABLE: Individual Research Projects (3.1d)

Fellow (e.g. ESR1)	Host institution	PhD enrolment (Y/N)	Start date (e.g. Month 6)	Duration (e.g. 36 months)	Deliverables (refer to numbers in table 3.1b)
Project Title and Work Package(s) to which it is related:					
Objectives:					
Expected Results:					
Planned secondment(s): Host, supervisor, timing, length and purpose					
Enrolment in Doctoral degree(s):					
EJD specific: institutions where the ESR will be enrolled to obtain a joint/double or multiple doctoral degree should be included					
EID specific: institution where the ESR will be enrolled to obtain a doctoral degree should be included					
ETN if applicable: institution where the ESR will be enrolled to obtain a doctoral degree should be included					

If applicable and relevant, **linkages between the individual research projects and the work packages** should be summarised here (one table per fellow).

KAPITEL 3 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Work plan

- ✓ The Work plan is both **coherent and effective**
- ✓ Individual research projects are fully described with **clear objectives and expected contribution**
- ✗ Tasks and responsibilities **are not well balanced** in some of the work packages
- ✗ The Gantt chart **lacks details**, e.g. on [...].
- ✗ The content of the **secondments** in some of the individual research projects are poorly chosen and do not correlate with planned research activities

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50

KAPITEL 3 – IMPLEMENTATION



3.2 Appropriateness of the management structure and procedures, including quality management and risk management (with a mandatory joint governing structure for EID and EJD)

- Network organisation and management structure, including financial management strategy, strategy for dealing with scientific misconduct
- Joint governing structure (mandatory for EID and EJD actions)
- EJD: joint admission, selection, supervision, monitoring and assessment procedures
- Supervisory board

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52

KAPITEL 3 – IMPLEMENTATION



- Recruitment strategy
- Progress monitoring and evaluation of individual projects
- Risk management at consortium level (including table 3.2a)
- Intellectual Property Rights (IPR)
- Gender aspects (both at the level of **recruitment** and that of **decision-making** within the action)
- Data management plan (if participating in Open Research Data pilot)

<https://www.iprhelpdesk.eu/sites/default/files/newsdocuments/Fact-Sheet-IP-Management-in-H2020-MSCAs.pdf>

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54

KAPITEL 3 – IMPLEMENTATION



Table 3.2a: Implementation Risks

Risk No.	Description of Risk	WP Number	Proposed mitigation measures
R1	e.g. Delay in recruitment	WPx	

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55

KAPITEL 3 – IMPLEMENTATION



The European Code of Conduct for the Recruitment of Researchers (p. 51) :

Recruitment

*Employers/funders should establish recruitment procedures which are **open, efficient, transparent, supportive and internationally comparable**, as well as tailored to the type of positions advertised. Advertisements should give a broad description of knowledge and competencies required, and should not be so specialised as to discourage suitable applicants. Employers should include a description of the **working conditions and entitlements**, including **career development prospects**. Moreover, the time allowed between the advertisement of the vacancy or the call for applications and the deadline for reply should be realistic.*

Selection

***Selection committees** should bring together **diverse expertise and competences** and should have an adequate **gender balance** and, where appropriate and feasible, include members from **different sectors** (academic and non-academic, including enterprise) **and disciplines**, including from **other countries** and with relevant experience to assess the candidate. Whenever possible, a wide range of selection practices should be used, such as external expert assessment and face-to-face interviews. Members of selection panels should be adequately trained.*

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56

KAPITEL 3 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Management structure & procedures

- ✓ The proposal presents a **clear and appropriate management structure** with well differentiated and distributed roles and responsibilities
- ✓ [...] the **role and tasks** of the Project Manager, the Supervisory Board, and the Executive Board, are well explained and relevant. **ESRs' representation** in the Supervisory and the Executive Boards is laudable.
- ✓ **Gender and diversity** of the consortium and the ESRs is appropriately addressed.

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57

KAPITEL 3 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Management structure & procedures

- ✘ The **conflict management and resolution strategies** are not adequately stated, as well as voting rights, which should have been better presented for such a large consortium
- ✘ The **risk management and mitigation measures** are insufficiently described [...], the provided table illustrates **generic measures** without sufficient details.
- ✘ Mitigation plans to deal with **misconduct** are not sufficiently foreseen and emphasized
- ✘ The appropriateness of the **recruitment strategy**, with respect to process, management, and approvals, is not fully convincingly demonstrated

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58

KAPITEL 3 – IMPLEMENTATION



3.3 Appropriateness of the infrastructure of the participating organisations

Explain the **appropriateness of the infrastructure** of each participating organisation, as outlined in Section 5 (Participating Organisations), **in light of the tasks allocated** to them in the action.

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59

KAPITEL 3 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Infrastructure

- ✓ The proposal provides **clear information on the appropriateness of infrastructure** of the organisations in line with the tasks allocated to them, including providing **logistical support to the ESRs**.
- ✗ The infrastructure of some **industrial partners** is not convincingly described

KAPITEL 3 – IMPLEMENTATION



3.4 Competences, experience and complementarity of the participating organisations and their commitment to the programme

Consortium composition and exploitation of participating organisations' complementarities:

explain the **compatibility and coherence between the tasks attributed** to each beneficiary/partner organisation in the action, including in light of their experience

Commitment of beneficiaries and partner organisations to the programme (for partner organisations: see also sections 5 and 7)

KAPITEL 3 – EVALUATION SUMMARY REPORTS AUSGEWÄHLTE KOMMENTARE



Complementarity & Commitment

- ✓ The **competency and complementarity** of the organisations are well developed and there is a good mix of academic and non-academic partners.
- ✗ The **commitment** of individual partners to the program is not clearly outlined, as it is presented in general terms only.
- ✗ The **Letters of commitment** refer to the formerly submitted proposal [...] the contribution from the eight associated partners can not be taken into account in this criterion

KAPITEL 3 – IMPLEMENTATION



i) **Funding of non-associated Third countries (if applicable):** Only entities from EU Member States, from H2020 Associated Countries or from countries listed in **General Annex A** to the Work Programme are automatically eligible for EU funding. If one or more of the **beneficiaries** requesting EU funding is based in a country that is **not automatically eligible for such funding**, the application shall explain in terms of the objectives of the action **why such funding would be essential**. Only in **exceptional cases** will these organisations receive EU funding. The same applies for international organisations other than IEIO.

ii) **Partner organisations:** The **role** of partner organisations and their **active contribution** to the research and training activities should be described. A **letter of commitment** shall also be provided in Section 7 (included within the PDF file, but outside the page limit).

STOP PAGE COUNT

https://ec.europa.eu/research/participants/data/ref/h2020/wp/2014_2015/annexes/h2020-wp1415-annex-a-countries-rules_en.pdf

KAPITEL 5 – PARTICIPATING ORGANISATIONS



Beneficiary / Partner Organisation Legal Name	
General Description	Short description of the activities relevant to the action
Beneficiaries: Role and Commitment of key persons (including supervisors)	Including names, title and the intended extent of involvement in the action – in percentage of full-time employment - of the key scientific staff who will be involved in the research, training and supervision
Partner: Key Persons and Expertise	
Key Research Facilities, Infrastructure and Equipment	Outline the key facilities and infrastructure available and demonstrate that each team has sufficient capacity to host and/or offer a suitable environment for supervising the research and training of the recruited Early-Stage Researchers
Only beneficiaries: Status of Research Premises	Please explain the status of the beneficiary's research facilities – i.e. are they owned by the beneficiary or rented by it? Are its research premises wholly independent from other beneficiaries and/or partner organisations in the consortium?
Previous Involvement in R&T Programmes	Detail any relevant EU, national or international research and training actions/projects in which the beneficiary/partner organisation has previously participated
Current Involvement in R&T Programmes	Detail any relevant EU, national or international research and training actions/projects in which the beneficiary/partner organisation is currently participating
Relevant Publications and/or Research/Innovation Product	Max. 5 for beneficiaries. Max. 3 for partner organisations.

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64

KAPITEL 6 – ETHICS ISSUES



- All research activities in **Horizon 2020 must respect fundamental ethics principles**
- All **proposals considered for funding will be submitted to an Ethics Review**. It is the **applicants' responsibility to identify any potential ethical issues**, to handle the ethical aspects of their proposal, and to detail how they plan to address them.
- Any **ethics issues** have been entered in **Part A** → **Ethics self-assessment** must be included in this section.
- More details: "H2020 How to complete your Ethics Self-Assessment" guide:

http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/hi/ethics/h2020_hi_ethics-self-assess_en.pdf

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65

KAPITEL 6 – ETHICS ISSUES - Self-assessment



1) Describe how the proposal meets the national legal and ethical requirements of the country or countries where the tasks raising ethical issues are to be carried out.

2) Explain in detail in the ethics issues table how the consortium intends to address the issues addressed, in particular as regards:

- Research **objectives**
- Research **methodology**
- The potential **impact** of the research

KAPITEL 7 – LETTERS OF COMMITMENT



- **Partner organisations** must include a **letter of commitment** to ensure their **real and active participation**.
- The expert evaluators will be instructed to **disregard** the contribution of any partner organisations for which no such evidence of commitment is submitted.
- Such letters should be **signed by an authorized person**, scanned and **included** in section B.7.
- There is **no specific template** for these letters.

KAPITEL 7 – LETTERS OF COMMITMENT



- Recent date
- Referring to the relevant call
- Commitment to contribute to ITN “xy” and to provide all necessary infrastructure etc.
- Confirm that the organisation has the capacity to supervise/train...
- Statement e.g. of the contribution to:
 - the Supervisory board
 - host secondments
 - trainings
 - scientific expertise in regard to WP/ESR project...
 - mutual recognition of diplomas/certificates/...

... as described in the proposal.

KAPITEL 7 – LETTERS OF COMMITMENT



- **EJD:** Applicants to EJD must also include in Part B (document 2) of the proposal scanned letters of institutional commitment from the beneficiaries awarding doctoral degrees indicating their **commitment to award joint, double or multiple doctoral degrees within the context of the proposed action.**
- These letters should be signed by the beneficiary's **authorised legal representative.** They should also indicate agreement with the principle that the awarding of such degrees is a precondition for funding.
- **A template for these letters is provided in Annex 6 and must be followed by all EJD applicants.**



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