National Annex (Austrian Annex)

Addition to the joint proposal for Austrian-Zhejiang cooperative R&D projects, Call 2021

Version April 2021

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| **Call:** | *2nd Call Zhejiang/China – Austria Research Initiative* | | |
| **Full title of the project:** | *Project title (max. 120 characters)*  *(identical with Joint Proposal)* | | |
| **Short title of the project:** | *Acronym (max. 20 characters)*  *(identical with Joint Proposal)* | | |
| **Applicant:** | *Name of the leading organisation (national proposers)* | | |
| **Project partner(s):** | *Name of company or institution (national proposers)* | | |
| **ICT-topic covered:** | Call topic 1 – ICT for smart cities  Call topic 2 – Green and sustainable buildings  Call topic 3 – Smart energy systems  Call topic 4 – Smart mobility | | |
| **Research category:**  *(national proposers)* | Industrial Research (IR)  Experimental Development (ED) | | |
| **Project duration:** | From [MM.YY] | Duration in months (max. 36) | |
| **Costs:**  *(national proposers)* | Total costs [€]: | | Total funding [€]: |
| **PROJECT GOALS:**  *Please present the goals and innovative contents of the project with a maximum of 5 sentences.* | | | |

# General Aspects

## Checklist for Submission

The information provided in Chapter 0 is designed to help you in your submission and can be deleted in the final Project Description to be uploaded as an attachment via eCall.

### Checklist for formal check

The formal check serves to check the application for formal correctness and completeness. Please note: **If the formal requirements are not met and the deficiencies cannot be corrected, the proposal will be excluded from the further procedure and will be formally rejected without exception in accordance with the principle of equal treatment of applications.**

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| **Criterion** | **Items checked** | **Can deficiency be corrected?** | **Consequence** |
| Completeness of the application | * *Joint Proposal: thematic content (upload as .pdf document via FFG eCall and to the Headquarters of ZSTD)* * *National Annex to the Joint Proposal (upload as .pdf file via FFG eCall)*   ***Please make sure that an identical version of the joint proposal is submitted to FFG and ZSTD. Non-identical versions are not eligible; alteration and addition of chapters or headings are not permitted*** | *No* | Rejection as result of formal check |
| Use of correct forms | *Template for Joint Proposal (see [FFG download center](http://www.ffg.at/2-call-zhejiang) or [ZSTD website](http://kjt.zj.gov.cn/)) and National Annex (on hand)* | *No* | Rejection as result of formal check |
| Project duration | *max. 36 months* | *No* | Rejection as result of formal check |
| Language National Annex | *English* | *No* | Rejection as result of formal check |
| Minimum requirements of consortium | * *One or more companies from the province of Zhejiang and one or more Austrian companies. The consortium can also involve research organisations in addition to the obligatory company partners.* * *Share of research institution(s) in project costs is minimum 10% and maximum of 80%* * *Companies share is a minimum of 20% and a maximum of 90%.* * *Individual enterprises account for a maximum of 70% of the eligible project costs with shares of affiliated companies counting as one enterprise.* | *No* | Rejection as result of formal check |
| Further non-Austrian partner/s | *There are no further non-Austrian partners except Chinese partners eligible in this call.* | *No* | Rejection as result of formal check |
| Funding amount | * *Total amount for Austrian partners of funding has to be between 100,000 EUR and 500,000 EUR.* | *No* | Rejection as result of formal check |
| Identical data within Joint Proposal, National Annex and eCall | * *partner* * *costs* * *total funding* * *duration* | *Yes* | Rectification via eCall is possible |
| Call-specific supplementary information to be included in the form | *A letter of intent for collaboration, indicating all Austrian and Chinese project partners, has to be submitted to FFG and ZSTD by the deadline.* | *Yes* | Rectification via eCall is possible |
| Attachments to eCall project data (upload as .pdf file) | *Declaration of SME Status for associations, start-ups, sole traders and non-Austrian companies* | *Yes* | Rectification via eCall is possible |
| Uploads to eCall master data  (upload as .pdf file) | *Annual accounts*  *(balance sheet, profit and loss account) of the last 2 financial years have been submitted* | *Yes* | Rectification via eCall is possible |
| **Consent regarding exchange of personal information between ZSTD and FFG**  **(Chapter 0.3)** | *Please see Chapter 0.3 Data Protection of* ***Joint Proposal*** *and give your consent by ticking the checkbox* | *Yes* | Rectification is possible, however a consent for Chapter 0.3 Data Protection of **Joint Proposal** is necessary for an eligible submission |

### Further aspects

* Adhere to the questions. Questions, comments and notes marked in blue in the form may be overwritten or deleted.
* Please make sure, that the information provided in this form corresponds to the information in the eCall-systems. In case of differences, the information provided in the eCall-system is binding.
* A complete national project proposal for this bilateral call between FFG and Zhejiang consists of the project description (present document) and a cost plan (to be filled in via eCall).
* Describe your project in sufficient detail for reviewers to form an accurate impression of your planned project. Try to keep your text precise and to the point.
* The maximum number of pages is NOT a request to reach this limit. Applications should enable the reviewers to understand the topic and the benefits resulting from the proposed project. Quality is more important than quantity.
* In the event of any questions please refer to your contact person at the FFG and ZSTD (contact information is available in the call fiche).

## Submission

Project proposals may only be submitted electronically via [eCall](https://ecall.ffg.at) within the submission deadline. Please see the detailed [eCall tutorial](file:///\\SERVERDATEN\VOLDATEN\DATEN\TP\IKT\ChinaCall_2020\71_Hauptdokumente\Projektbeschreibungen\:%20https:\ecall.ffg.at\Cockpit\Tutorial-Hilfe) for further information.

The main proposal may only be submitted if all partners have previously completed and submitted their partner proposals via eCall.

A project proposal is considered to have been submitted if the eCall application has been finalised by clicking the “Submit“ (*Einreichung abschicken*) button. On successful submission a confirmation will be sent by e-mail. It is not possible to resubmit the proposal or parts of it or to revise the proposal after the submission deadline.

In the event of any questions about eCall please refer to your contact person at the FFG (contact information is available in the call announcement).

It is NOT required to send a duly signed copy of the proposal submitted online.

All proposals submitted will only be made available to the persons involved in the administration of the call. All these persons are subject to strict confidentiality. In particular, the national and international experts who take part in the evaluation procedure must sign a declaration of confidentiality before they start their work.

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| **Submission deadline for Austria, FFG:**  **Joint Proposal for funding must be submitted to FFG via eCall by 15.07.2021, 12:00 o´clock at Central European Summer Time (C.E.S.T.)**  **Submission deadline for Zhejiang, ZSTD:**  **Joint Proposal for funding must be submitted to ZSTD via <https://pm.zjsti.gov.cn> by end of July 2021, please refer to the notice on ZSTD official website**  **ZSTD Website:**  **http://kjt.zj.gov.cn** |

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# Kurzfassung

Kurzfassung auf **Deutsch** (max. 1 Seite), entspricht der Kurzfassung aus den Projektdaten im eCall.

Die aussagekräftige Kurzfassung muss folgende Punkte beinhalten:

* Ausgangssituation, Problematik und Motivation zur Durchführung des F&E-Projekts
* Ziele und Innovationsgehalt gegenüber dem Stand der Technik / Stand des Wissens
* Angestrebte Ergebnisse und Erkenntnisse

(entsprechend der Darstellung im Joint Proposal)

# Abstract

Summary in English (translation of "Kurzfassung") (max. 1 page), corresponds to English summary from eCall project data

(entsprechend der Darstellung im Joint Proposal)

# Additional Information of Austrian applicants/partners to the Joint Proposal

# Relevance of the Project

(max. 3 pages)

Please note the following points to be addressed:

• Austrian applicants must demonstrate how the cooperative R&D project builds either a gateway to the Zhejiang market for them or a basis for further business activities in Zhejiang.

• Furthermore Austrian applicants must show the contribution the project will make to increasing the added value in Austria.

## Relevance to the call topics and to the national goals

Please list the **call topic(s)** addressed by your project (see National Call Guideline, chapter 3 Call Topics) and give an explanation.

Please list the **goals** of the Zhejiang-Austria, Bilateral Research Initiative (see National Call Guideline, chapter 2 Motivation) primarily addressed by your project and give an explanation.

## Incentive effect of funding (additionality) referring to Austrian partners

Please explain how funding changes the project in terms of:

* Enabling: The project could not be carried out without funding.

Please explain why funding is necessary to enable the project.

* Duration: The project can be completed within a shorter period of time than possible without funding

Explain to what extent and why funding helps to speed up the completion of the project.

* Size: Size of the project can be increased compared to the project being carried out without funding

Give reasons why the size of the project can be increased compared to the project being carried out without funding.

* Scope: The scope of the project can be increased.

Give reasons why the funding makes the project more ambitious. A higher level of ambition can mean that the project

* + is focused on more radical leaps in innovation
  + follows a more long-term and research-intensive [as opposed to market oriented and development intensive] approach
  + involves a higher technical risk
  + involves a higher market risk
  + leads to new or more extensive collaborations

Please demonstrate the incentive effect of the funding in accordance with the criteria applicable to the project.

# Description of cost plan referring to austrian partners

Please explain the relevance of the items listed in the cost plan for the project: personnel costs, costs of infrastructure use, costs of materials, third-party costs and travel costs (especially purpose of travel).

Please note: All costs included in the cost plan must be allocated to the individual work packages at partner and project level and must be explained and justified in this chapter.

**Please observe the regulations in the** [**Cost Guidelines 2.1**](https://www.ffg.at/recht-finanzen/kostenleitfaden/version-21)

Overhead costs are calculated automatically based on a fixed rate.

For each Austrian partner, provide a sufficiently detailed justification for the individual cost items in each cost category and explain it in verbal form. Insufficient presentation and justification in this chapter may lead to cost reductions.

# Call-specific supplementary information

The following supplementary information must be annexed to the Project Description:

* Please submit a letter of intent for collaboration, indicating all Austrian and Chinese project partners, as well as further relevant information listed in the respective national call announcements if applicable.
* If applicable, the description of the qualification profile of any N.N.s

The following documents must be uploaded as additional annexes to the eCall project data:

* Declaration of SME Status (if no data are available in the Austrian Business Compass, see template)