

EINREICHUNG UND EVALUIERUNG IN HORIZON 2020

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VORGEHENSWEISE EINREICHUNG



FFG



<https://ec.europa.eu/research/participants/portal/desktop/en/funding/index.html>

- Unter “Submission Service” Proposal anlegen

ICT 2014 - Information and Communications Technologies

H2020-ICT-2014-1 Sub call of: H2020-ICT-2014

Publication date	2013-12-11	Deadline Date	2014-04-23 17:00:00 (Brussels local time)
Total Call Budget	€658,500,000	Main Pillar	Industrial Leadership
Status	Open	OJ reference	OJ C361 of 11.12.2013

Topic: Smart Cyber-Physical Systems ICT-2014-1

Topic Description Topic Conditions & Documents Submission

To access the Electronic Submission Service, please select the **type of action** that is most relevant to your proposal from the list below. You will then be directed to the correct entry point.

To access existing draft proposals for this call, please login to the Participant Portal and select the My Proposals page of the My Area section

Research and Innovation acti

PIC: wird vom 7. RP übernommen!



EINREICHSYSTEM - HAUPTMASKE



The screenshot shows the 'Edit Proposal' interface. At the top, a progress bar indicates the current step is 'EDIT PROPOSAL'. The main content area is titled 'Edit Proposals' Forms' and includes a warning message: 'WARNING: This proposal contains changes that have not yet been submitted...'. Below this, there are sections for 'Administrative Forms' and 'Part B and Annexes'. The 'Administrative Forms' section has buttons for 'edit forms', 'view history', and 'print preview'. The 'Part B and Annexes' section has a 'download templates' button and two 'upload' buttons for technical annex sections. A sidebar on the left contains user information (Jürgen Rattenberger), proposal details (ICT-23-2014), and a 'Configuration OK' message with a green checkmark. At the bottom, there are navigation buttons: '<< Step 4 - Parties', 'validate', and 'submit'.

Fortschritt

ID Karte des Proposals

Aktions Buttons

Kompatibilitätsprüfung

Navigations-Buttons



Administrative Unterlagen (A-Forms)

<i>Section</i>	<i>Title</i>	<i>Action</i>
1	General information	Show
2	Participants & contacts	Show
3	Budget	Show
4	Ethics	Show
5	Call-specific questions	Show

Neu!

Proposal und Annex (Part B)

NEU: DECLARATIONS

Allgemein

- Zustimmung von allen Partnern
- Angaben vollständig und richtig

Koordinator

- Selbst-Check der „finanziellen Viabilität“ durchgeführt / oder ist ausgenommen

Für alle Partner

- Partner zulässig
- finanziell in der Lage die Aktivitäten durchzuführen

Proposal ID SEP-210130910	Acronym test
Declarations ?	
1) The coordinator declares to have the explicit consent of all applicants on their participation and on the content of this proposal.*	<input type="checkbox"/>
2) The information contained in this proposal is correct and complete.	<input type="checkbox"/>
3) This proposal complies with ethical principles (including the highest standards of research integrity — as set out, for instance, in the European Code of Conduct for Research Integrity — and including, in particular, avoiding fabrication, falsification, plagiarism or other research misconduct).	<input type="checkbox"/>
4) The coordinator confirms:	
- to have carried out the self-check of the financial capacity of the organisation on https://ec.europa.eu/research/participants/portal4/desktop/en/organisations/lfv.html . Where the result was "weak" or "insufficient", the coordinator confirms being aware of the measures that may be imposed in accordance with the H2020 Grants Manual (Chapter on Financial capacity check); or	<input type="checkbox"/>
- is exempt from the financial capacity check being a public body including international organisations, higher or secondary education establishment or a legal entity, whose viability is guaranteed by a Member State or associated country, as defined in the H2020 Grants Manual (Chapter on Financial capacity check); or	<input type="checkbox"/>
- as sole participant in the proposal is exempt from the financial capacity check.	<input type="checkbox"/>
5) The coordinator hereby declares that each applicant has confirmed:	
- they are fully eligible in accordance with the criteria set out in the specific call for proposals; and	<input type="checkbox"/>
- they have the financial and operational capacity to carry out the proposed action.	<input type="checkbox"/>
The coordinator is only responsible for the correctness of the information relating to his/her own organisation. Each applicant remains responsible for the correctness of the information related to him and declared above. Where the proposal to be retained for EU funding, the coordinator and each beneficiary applicant will be required to present a formal declaration in this respect.	
According to Article 131 of the Financial Regulation of 25 October 2012 on the financial rules applicable to the general budget of the Union	

PART A: BUDGET



Participant	Country	(A) Direct personnel costs/€	(B) Other direct costs/€	(C) Direct costs of sub- contracting /€	(D) Direct costs of providing financial support to third parties/€	(E) Costs of inkind contributions not used on the beneficiary's premises/€	(F) Indirect Costs/€ (=0.25(A+B-E))	(G) Special unit costs covering direct & indirect costs	(H) Total estimated eligible costs/€ (=A+B+C+D+F +G)	(I) Reimburse- ment rate	(J) Max. grant / € (=H*I)	(K) Requested grant / €
OESTERREICHISCHE F	AT	0	0	0	0	0	0	0	0	100	0	0
TECHNISCHE UNIVER	AT	0	0	0	0	0	0	0	0	100	0	0
Total		0	0	0	0	0	0	0	0		0	0

1. Stufe: nur „Total requested amount“ anzugeben!

European Commission - Research - Participants
 Proposal Submission Forms

Directorate-General for Research and Innovation

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Proposal ID **SEP-210130198** Acronym **TEST**

3 - Budget for the proposal



Total requested amount / €	0
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NEU: PART A: ANGABEN ZU ETHICAL ISSUES



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4 - Ethics issues table



1. HUMAN EMBRYOS/FOETUSES ⁱ		Page
Does your research involve Human Embryonic Stem Cells (hESCs) ?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve the use of human embryos?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve the use of human foetal tissues / cells?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
2. HUMANS		Page
Does your research involve human participants?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve physical interventions on the study participants?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does it involve invasive techniques?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
3. HUMAN CELLS / TISSUES		Page
Does your research involve human cells or tissues? If your research involves human embryos/foetuses, please also complete the section "Human Embryos/Foetuses" [Box 1].	<input type="radio"/> Yes <input checked="" type="radio"/> No	
4. PROTECTION OF PERSONAL DATA ⁱⁱ		Page
Does your research involve personal data collection and/or processing?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve further processing of previously collected personal data (secondary use)?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
5. ANIMALS ⁱⁱⁱ		Page
Does your research involve animals?	<input type="radio"/> Yes <input checked="" type="radio"/> No	

HILFESTELLUNG: SELBST-EVALUIERUNGS FORMULARE

- Selbstevaluierung des Proposals durch „Unabhängigen Dritten“
- Kriterien und Formulare ähnlich den Unterlagen der EvaluatorInnen



1. Excellence

Note: The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description in the work programme:

- Clarity and pertinence of the objectives;
- Credibility of the proposed approach;
- Soundness of the concept, including trans-disciplinary considerations, where relevant;
- Extent that proposed work is ambitious, has innovation potential, and is beyond the state of the art (e.g. ground-breaking objectives, novel concepts and approaches).

Comments:

Score 1:
Threshold 3/5

EVALUIERUNG IN HORIZON 2020



DOKUMENTE



Wo finden Sie Informationen über den Evaluierungsprozess?

- H2020 Grants Manual – Section „proposal submission & evaluation“
- **H2020 Work Programme – General Annex**
Section H - Evaluation



http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference_docs.html

DIE 3 EVALUIERUNGSKRITERIEN



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Type of action	Excellence	Impact	Quality and efficiency of the implementation
	The following aspects will be taken into account, to the extent that the proposed work corresponds to the top in the 3/5	The extent to which the outputs of the project should contribute at the European and/or International level to: 3/5	The following aspects will be taken into account: 3/5

Threshold: 10/15

- **Achtung:** Unterschiedliche Thresholds möglich, je nach Ausschreibung!
- Impact bei Innovation Actions (und SME Instrument) wird mit 1,5 gewichtet
- Bei gleichen „Scores“ „sticht“ höhere Punkteanzahl beim Impact

EVALUIERUNGSKRITERIEN



Excellence (5)

Clarity of objectives

Soundness of the concept, Ambition

Progress beyond the state of the art

Impact (5)

Impacts listed in work programme

Enhancing innovation capacity

Strengthening the competitiveness

Dissemination & Exploitation

Implementation (5)

Coherence of work plan, Ressources

Complementarity of the consortium

Appropriateness of the management

Phase 1 — Individuelle Evaluierung

Phase 2 — Consensus group

Phase 3 — Panel review

NEU: keine Verbesserungsvorschläge mehr von
EvaluatorInnen

=> „*evaluate proposals in their current state, rather than
their potential should certain changes be made*“



HEARING MIT EINREICHER_INNEN

- Arbeitsprogramm gibt an, ob Hearings vorgesehen sind
- Einladung geht an alle Projekte über dem Threshold
- Kann in 3 Formen statt finden: vor Ort, schriftlich, virtuell
- Keine neuen Informationen zum Antrag
- lediglich Klärung von Fragen, die vorab bekannt gegeben werden

- ~~REPRESS~~
- Bei formalen Fehlern während der Evaluierung
- Koordinator kann ein „**evaluation review**“ initiieren
- Frist: bis **max. 30 Tage** nach Erhalten des Ablehnungsschreibens
- Geht nur via **Participant Portal**

WERDEN SIE EVALUATOR-IN!



- Liste der bisher tätigen EvaluatorInnen unter H2020 wird 1x pro Jahr veröffentlicht